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PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John C. Herr, et al.

Customer No. 34414

Serial No.

09/890,709

Art Unit:

1653

Filed:

July 31, 2001

Examiner:

Unknown

Title:

Methods and Compositions for Modulating Fertility

Certificate of Facsimile Under 37 CFR §1.8

I hereby certify that this correspondence is being sent via facsimile to (703) 872-9306 under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: December 2, 2004

Sue Ann Can

#### REVOCATION AND APPOINTMENT BY ASSIGNEE OF PATENT APPLICATION

Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

Sir:

Pursuant to 37 CFR §§3.71 and 3.73(b), the University of Virginia Patent Foundation (the "Patent Foundation") certifies that it has reviewed an assignment of the entire right, title and interest in and to the patent application identified above to the University of Virginia from the co-inventors (University of Virginia employees). A copy of that assignment is attached.

The Patent Foundation further certifies that it has reviewed an assignment of the entire right, title and interest in the patent application identified above to the Patent Foundation from the University of Virginia, which is also attached.

The Patent Foundation further certifies that, to the best of its knowledge and belief, title to the above-identified patent application is vested solely and exclusively in the Patent Foundation.

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The Patent Foundation hereby revokes all former Powers of Attorney and appoints the attorneys at the Patent Foundation associated with Customer No. 34444, with full power of substitution and revocation, to make alterations and amendments therein, to receive the patent, and to transact all business in the PTO connected therewith.

Please send all future correspondence in connection with this application to the undersigned attorney at the address associated with Customer No. 34444.

Respectfully submitted

Rodney L. Sparks Registration No. 53,625

Date: December 2, 2004

University of Virginia Patent Foundation 1224 West Main Street, Suite 1-110 Charlottesville, VA 22903 Telephone: (434) 243-6103

Fax: (434) 924-2493

UVAPF Ref: Herr PSP95 (00121)

## ASSIGNMENT

WHEREAS, wc, John C. Herr, Arabinda Mandal, Michael Wokkowicz, and Kenneth L. Klotz (the "Assignors"), have made an invention described in U.S. Patent Application Scrial No. 09/890,709, filed July 31, 2001 entitled "Methods and Compositions for Modulating Fertility;" International Patent Application PCT/US00/02675 filed February 1, 2000; Provisional Patent Application Serial No. 60/118,030 filed February 1, 1999; and Provisional Patent Application Serial No. 60/188,995 filed February 8, 1999; and

WHEREAS, the University of Virginia, 314 Madison Hall, P.O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign countries, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all recissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights"):

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee; its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights except that Assignee hereby grants back to the Assignors a royalty free non-transferable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, reyalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment, as fully and entirely as the same would have been held and enjoyed by Assignors if this sale and assignment had not been made;

AND Assignors hereby authorize and request the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said invention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

AND Assignors hereby represent, warrant and covenant that they have the full right to convey the entire interest herein assigned, that they have not executed and will not execute any instrument or assignment in conflict herewith, and that the rights assigned herein are not otherwise encumbered by any grant, license or right;

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AND Assignors further covenant and agree that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidevits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby sold, assigned, transferred and conveyed, and that Assignors will sign any applications for reissue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;

AND Assignors further covenant and agree that Assignors will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, Assignors have hereunto set their hards and seals.

	12 C.11	0	
Signature of Inventor / Date of Execution: Inventor's Name: Inventor's Resident Address:	John C. Herr 2645 Cedar Ridge Lane Charlottesville, Virginia 22	01	
Place of Execution:	City of Charlottesville, Virg	inia	
COUNTY/CITY OF ) COMMONWEALTH OF VIRGINIA )			
On this day of January, 2002, before me, a Notary Public County/City and State aforesaid, personally appeared John C. Herr, to me the individual of that name, who executed the foregoing instrument and the same to be of his/her own free will for the purposes therein set forth.			
Yunda Scrawford Wanda J. Crawford, Notary Public, 1	[signature, please print name	below] 5/31/04	

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Signature of Inventor / Date of Execution: Inventor's Name: Inventor's Resident Address:	Arabinda Mandal 600 BRANTE 326 Fifteenth Street, Apartment Charlottesville, VA 22903	1. 8.02.
Place of Execution:	City of Charlottesville, Virginia	
COUNTY/CITY OF )  (COMMONWEALTH OF VIRGINIA )		
On this 8 day of January, 2002 County/City and State aforesaid, personally the individual of that name, who executed to the same to be of his/her own free will for the same to be of his/her own free will be a same to be of his/her own free will be a same to be of his/her own free will be a same to be of his/her own free will be a same to be of his/her own free will be a same to be a same	appeared <u>Arabinda Mandal</u> , to me he foregoing instrument and actino he purposes therein set forth.	cnown as owledged
<u>Warda Krawferd</u> [signat <u>Wanda J. Crawford</u> , Notary Public, 1	ture, please print name below] my commission expires on: 3/8/	<u>104</u>
Signature of Inventor / Date of Execution: Inventor's Name: Inventor's Resident Address:	Michael Wolkowicz 2607-E Hydraulic Road Charlottesville, VA 22901	9
Place of Execution:	City of Charlottesville, Virginia	
COUNTY/CITY OF		
On this day of January, 200. County/City and State aforesaid, personally as the individual of that name, who executed the same to be of his/her own free will for	appeared Michael Wolkowicz. 10 to the foregoing instrument and ackn	ne known
<u>Uanda J. Crawford</u> , Notary Public,	[signature, please print name below my commission expires on:	ow] 3/04

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Signature of Inventor / Date of Execution: Inventor's Name: Kenneth L. Klotz 2984 Alberene Church Inventor's Resident Address: Esmont, VA 22937 Place of Execution: City of Charlottesville, Virginia COUNTY/CITY,OF 'ia Witawill COMMONWEALTH OF VIRGINIA ) On this \_\_\_\_\_ day of January, 2002, before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Kenneth L. Klotz, to the known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his/her own free will for the purposes therein set forth. Chautara [signature, please print name below]

vaut navotary Public, my commission expires on: 5/3/64

Sent By: UVA Patent Foundation;

Fisch ID: Herr-FSP95 Attorney Docket No. 00121

## **ASSIGNMENT**

WHEREAS, the University of Virginia, 314 Madison Hall, P.O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignor") possesses the right, title and interest for and in an invention described in U.S. Patent Application Serial No. 09/890,709, filed July 31, 2001 entitled "Methods and Compositions for Modulating Fertility;" International Patent Application PCT/US00/02675 filed February 1, 2000; Provisional Patent Application Serial No. 60/118,030 filed February 1, 1999; and Provisional Patent Application Serial No. 60/188,995 filed February 8, 1999; and

WHEREAS, The University of Virginia Patent Foundation, a non-profit organization having a place of business at 1224 West Main Street, Suite 1-110, Charlottesville, Virginia 22903 (the "Assignee") is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign counties, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all reissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights");

NOW, THEREFORE, for and in consideration of the sum of One Dellar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee, its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights, except that Assignee hereby grants back to the Assignor a royalty free non-transferrable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infingement of the right assigned or to be assigned under this Assignment as fully and entirely as the same would have been held and enjoyed by Assignor if this sale and assignment had not been made;

AND Assignor hereby authorizes and requests the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said avention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

AND Assignor hereby represents, warrants and covenants that it has the full right to convey the entire interest herein assigned, that it has not executed and will not execute any

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instrument or assignment in conflict herewith, and that the rights assigned herein are not otherwise encumbered by any grant, license or right:

AND Assignor further covenants and agrees that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidavits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby seld, assigned, transferred and conveyed, and that Assignor will sign any applications for ressue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;

AND Assignor further covenants and agrees that Assignor will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, said Assignor has hereunto set its hand and seal.

Signature of Representative for Assignor:	Que March		
Top 200 Line 1 v 201 2 Line grave		David J. Hudson	
Representative's Title:		Associate Vice President f	<u>r</u> ?
		and Public Service, Univer	ity of Virginia
Place of Execution:		City of Charlottesville, Sta	te of Virginia
COUNTY OF	)		
ALBEMARLE	) ss:		
STATE OF VIRGINIA	)		
On this day of January	1u, 2001, b	efore me, a Notary Public in and	or the
County/City and State afore	said, personall	y appeared <u>David J. Hudson</u> , to m	e known as the
individual of that name, who	o executed the	foregoing instrument and acknow	ledged the same
to be of his/her own free wi	if for the purpo	ses therein set forth.	
		0	
		Laura K. Motherty Notary Pub	lic

My commission expires: July \$1, 2003